



Dr. iur. Florian L. Steiner

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Languages

German, English

Expertise

Florian L. Steiner specializes in the fields of banking/regulatory, financial markets regulation, capital market transactions and commercial law. He frequently advises and represents financial institutions in regulatory proceedings before the Swiss Financial Market Supervisory Authority FINMA. Florian L. Steiner also advises on matters concerning book-entry securities, especially with view to security arrangements. He litigates in his fields of expertise.

Career

Since 2020	Senior Associate, Niederer Kraft Frey
2017	Associate, Niederer Kraft Frey
2016	Visiting Researcher at Harvard Law School
2015	Associate with a Business Law Firm in Zurich
2013	Clerk with District Court of Uster
2011	Junior Associate with a Business Law Firm in Zurich
2008	Research and Teaching Assistant to Prof. Dr. iur. Urs Bertschinger, Academic Chair for Private Law, Corporate Law and Financial Markets Law, University of St. Gallen
2007	Teaching Assistant to Prof. Dr. iur. Philippe Mastronardi, Academic Chair for Constitutional Law, University of St. Gallen

Education

2014	Admitted to the Bar (Rechtsanwalt)
2012	Dr. iur. HSG, University of St. Gallen
2008	M.A. HSG in Law, University of St. Gallen
2006	B.A. HSG in Law, University of St. Gallen

Speaking Engagements

“Praxis zum Finanzmarktaufsichtsrecht” (Practice within the Financial Market Supervisory Law), held at the St. Gallen Conference for Financial Market Regulation 2012 (November 6, 2012), Executive School of Management, Technology and Law (ES-HSG), University of St. Gallen, Conference venue: Convention Point of the SIX Swiss Exchange, Zurich”

Publications

- Sandro Abegglen, Christoph Balsiger, Francois M. Bianchi, Luca Bianchi, Simon Bühler, Anja Bürgisser, Thomas A. Frick, Marco Häusermann, Thomas Hochstrasser, Jael Leutwyler, Martin Schaub, Bertrand G. Schott, Florian Steiner, Yannick Wettstein, Melanie Wyss
Switzerland’s New Financial Market Architecture (3rd Edition / 2019)
in: NKF Publication #21
- Thomas A. Frick, Florian L. Steiner, “FinTech: Switzerland is gearing up”, in: Banking Law Newsletter August 2017, International Bar Association Legal Practice Division, pp. 26 – 28
- “How Switzerland responds to «FinTech» (and the key takeaways for lawmakers internationally)”, Online Publication, www.regulation-y.com, 5 May 2017
- “Zur Revision des Bucheffektengesetzes” (Regarding the Amendments of the Federal Intermediated Securities Act), in: Gesellschafts- und Kapitalmarktrecht 2016, pp. 336 – 348
- Commentary of article 35 of the Federal Intermediated Securities Act, in: Heinrich Honsell/Nedim Peter Vogt/Rolf Watter (Publishers), Basel Commentary Securities Law, Basle 2012
- “Besicherung nach dem Bucheffektengesetz” (Secured Transactions after the Federal Intermediated Securities Act), PhD Thesis St. Gallen 2012