



## Dr. iur. Bertrand Schott

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Languages

German, English, French

## Expertise

Bertrand Schott's practice focuses on banking including both transactions and regulatory issues. His specific expertise also includes corporate/M&A and restructurings, mainly in the financial sector.

Bertrand assists banks, broker-dealers, investment funds and fund managers, asset managers, trading venues and other financial market infrastructures in transactional and organizational/regulatory matters, both domestic and cross-border. He regularly represents these institutions in regulatory matters before the Swiss regulator, for example relating to licensing matters. He is also frequently acting for insurance companies and reinsurance companies in regulatory matters.

Bertrand is responsible (co-author) for the annual Swiss legal opinions on the various international securities lending master agreements and on the Global Master Repurchase Agreement. He has devoted a substantial amount of time to advising financial institutions, investment funds, corporations and pension schemes on Repo, Securities Lending and OTC derivatives.

In addition to his legal practice, Bertrand writes and speaks regularly about legal issues in the area of his expertise. Bertrand Schott is a member of NKF's Executive Committee.

## Career

Since 2016	Partner, Niederer Kraft Frey (since 2021 member of NKF's Executive Committee)
2014	Senior Associate, Niederer Kraft Frey
2012	Foreign Associate, Wuersch & Gering LLP, New York
2008	Associate, Niederer Kraft Frey
2005	Law Faculty of the University of Zurich, Research and Teaching Assistant to Prof. Forstmoser (Corporate Law and Capital Markets)
2003	Junior Associate with major Swiss business law firm
2002	Assistant Clerk, District Court of Zurich

## Education

2012	Columbia University School of Law (New York), LL.M.
2009	University of Zurich, Ph.D. (summa cum laude; Issekutz Award and Prof. Walther Hug Award)
2005	Admitted to the Swiss and Zurich Bar
2002	University of Fribourg, Licentiate (lic. iur.)
2000	University of Durham (UK), Foreign Student Degree

## Experience

- Advising a number of financial institutions, broker-dealers and trading venues in regulatory matters relating to the new Swiss regulations FIDLEG and FINFRAG
- Rendering the annual Swiss legal opinions on the various international securities lending master agreements and on the Global Master Repurchase Agreement, to the International Capital Markets Association (ICMA), the International Securities Lending Association (ISLA) and the Capital Adequacy Directive Working Group
- Assisting various financial institutions, investment funds and financial market infrastructures regarding license matters and other regulatory issues; representation before the FINMA and other authorities
- Assisting various Swiss listed companies and large enterprises regarding compliance and general corporate matters
- Acting for a large settlement institution (joint venture of international banks) of systemic relevance regarding regulatory requirements, enforceability of rules and regulations under Swiss law
- Advising numerous banks and other financial institutions on the consequences of the changed regulations relating to inducements and soft commissions, including structuring and implementing of new set-up
- Assisting a large foreign bank with the selling of its Swiss business
- Assisting a large foreign asset manager with the acquisition of a Swiss asset manager
- Advising a bank in drafting a new OTC derivatives agreement (bank standard)
- Assisting a number of foreign banks in cross-border insolvency proceedings; representation before FINMA
- Assisting a listed company in a large proxy fight

## Market Perception

"Bertrand Schott is smart, friendly, and easy to work with." (Legal 500 2020)

"Bertrand has been an excellent partner in connection with the work we have done together. He is calm and deliberate, but his experience has clearly helped us achieve the results we were seeking." (IFLR1000 2020)

