

# Andrea Rüttimann

Senior Associate, lic. iur., Attorney-at-law, LL.M./APCLB

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Languages German, English

#### Expertise

Andrea distinguishes herself through her long standing experience with listed companies, her high level of specialist knowledge and her commercial acumen. Having formerly been part of the supervisory authority of SIX Swiss Exchange, Andrea acts as counsel for large companies and investors in all areas of stock exchange regulation, listing and reporting. Her practice includes advising on sustainability reporting.

Andrea also focuses on other critical regulatory and supervisory matters: She frequently advises financial institutions and other corporates on all aspects of securities and banking law including on issues of institutional governance, compliance and cross-border regulatory aspects. She represents clients in enforcement proceedings of regulatory authorities such as FINMA, the Swiss Financial Market Supervisory Authority. She also has expertise on the legal implementation of financial market infrastructures.

Capital Markets

Banking, Finance & Regulatory

Investigations & White Collar

Corporate / M&A

#### Career

2016	Senior Associate, Niederer Kraft Frey
2015	Deputy Head Disclosure Office of SIX Swiss Exchang
2012	Senior Legal Counsel Disclosure Office and Corporate Disclosure Department of SIX Swiss Exchange
2008	Associate with Homburger
2006	Associate, Niederer Kraft Frey
2004	Junior Associate, Niederer Kraft Frey

### Education

- 2022 IMD, Lausanne, Driving Sustainability from the Boardroom Program
- 2008 New York University School of Law and Leonard N. Stern School of Business, New York, LL.M. in Corporate Law and Advanced Certificate in the field of Law and Business (APCLB) (Arthur T. Vanderbilt Scholarship and John L. Vogelstein Scholarship)

2006 Admitted to the Zurich Bar (Rechtsanwältin)

2004 University of Zurich and Lausanne, Lic. iur.

### Publications

- Andrea Rüttimann, FinMIA Review: New Rules on the Horizon for Swisslisted Companies, in: CapLaw 10/2024
- Andrea Rüttimann, Financial Market Infrastructure Act: Implications for listed Companies, their Managers, and Investors, in: NKF Client News, 04 July 2024
- Andrea Rüttimann, Ad Hoc Publicity New Rules And Their Consequences For SIX Listed Issuers, in: CapLaw 3/2021
- Andrea Rüttimann, Philippe A. Weber, Revised notices of the SIX disclosure office, in: Capital Markets Newsletter Switzerland, International Law Office, 20 November 2018
- Andrea Rüttimann, First Experiences with the New Disclosure Law, in: CapLaw Issue 2018-15
- Andrea Rüttimann, Christian Leuenberger, Schulthess Kommentar zum Finanzmarktinfrastrukturgesetz (FinfraG), Kommentierung Markt- und Kursmanipulation, in: Rolf Sethe et al. (Hrsg), Kommentar zum Finanzmarktinfrastrukturgesetz (FinfraG), Zürich 2017
- Andrea Rüttimann, Offenlegung von Beteiligungen nach Art. 20 BEHG und Art. 31 BEHG sowie von Management-Transaktionen während des öffentlichen Übernahmeverfahrens: Eine praktische Anleitung de lege lata, in: GesKR 1/2015, S. 28ff

## **Market Perception**

"We've worked with Philippe Weber, Thomas Brönnimann and Andrea Rüttimann. They are all accessible within the shortest period of time, listen carefully to see that they understand our issues without any waste of time and spend time to solve the problem, not to describe it. They provide solutions in shortest time, which they help to execute. They are personally a pleasure to work with and have the seniority to face any topic coming up." (Chambers 2024)

"Andrea Rüttimann is a senior associate who previously worked at the SIX stock exchange. Thanks to her practical experience, she provides very helpful support on all related matters." (Chambers 2024)